

FORM PTO-1390  
(REV. 6-87)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

1284-01

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)

INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
PCT/EP00/01806	02 March 2000 (02.03.00)	02 March 1999 (02.03.99)

## TITLE OF INVENTION

PROCESS AND DEVICE FOR OBJECT IMAGING

## APPLICANT(S) FOR DO/EO/US

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Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items under 35 U.S.C. 371:

- This express request to immediately begin national examination procedures (35 U.S.C. 371(f)).
- The U.S. National Fee (35 U.S.C. 371(c)(1)) and other fees as follows:

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS	26 - 20 =	6	x \$18.00	\$ 108.00
	INDEPENDENT CLAIMS	2 - 3 =	0	x \$80.00	
	MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00	270.00
BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(4)):					
	<input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482)			\$690.00	
	<input type="checkbox"/> No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2))			\$710.00	
	<input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO			\$1,000.00	
	<input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2) to (4)			\$ 100.00	
	<input checked="" type="checkbox"/> International Search Report enclosed			\$860.00	\$ 860.00
Surcharge of \$ ____ for furnishing the National fee or oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 mos. from the earliest claimed priority date (37 CFR 1.482(e)).					
				\$130.00	
				TOTAL OF ABOVE CALCULATIONS	\$1,338.00
Reduction by 1/2 for filing by small entity, if applicable. Affidavits must be filed also. (Note 37 CFR 1.9, 1.27, 1.28)					
				SUBTOTAL	\$ 669.00
Processing fee of \$ ____ for furnishing the English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 mos. from the earliest claimed priority date (37 CFR 1.482(f)).					
				\$130.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)).					
				TOTAL NATIONAL FEE	\$ 669.00
				TOTAL FEES ENCLOSED	\$ 669.00

- A check in the amount of \$669.00 to cover the above fees is enclosed.
- Please charge my Deposit Account No. 13-3405 in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 13-3405. A duplicate copy of this sheet is enclosed.

09/914515

3. A copy of the International Application as filed (35 U.S.C. 371(c)(2))  
 a.  is transmitted herewith (required only if not transmitted by the International Bureau).  
 b.  is not required, as the application was filed in the United States Receiving Office (RO/US).  
 c.  has been transmitted by the International Bureau.

4.  A translation of the International Application into English (35 U.S.C. 371(c)(2)).

5. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))  
 a.  are transmitted herewith (required only if not transmitted by the International Bureau).  
 b.  have been transmitted by the International Bureau.

6.  A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).

7.  An oath or declaration of the inventor (35 U.S.C. 371(c)(4)).

8.  A translation of the Annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Other document(s) or information included:

9.  An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.

10.  An Assignment document for recording and a Recordation Form Cover Sheet - Patents Only. Please mail the recorded assignment document to the person whose signature, name and address appears at the bottom of this page.

11. The above checked items are being transmitted  
 a.  before the 18th month publication.  
 b.  after publication and the Article 20 communication but before 20 months from the priority date.  
 c.  after 20 months but before 22 months (surcharge and/or processing fee included).  
 d.  after 22 months (surcharge and/or processing fee included).  
 Note: Petition to revive (37 C.F.R. 1.137(a) or (b)) is necessary if 35 U.S.C. 371 requirements submitted after 22 months and *no proper demand for International Preliminary Examination was made by 19 months from the earliest claimed priority date*.  
 e.  by 30 months and a proper demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.  
 f.  after 30 months but before 32 months and a proper demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date (surcharge and/or processing fee included).  
 g.  after 32 months (surcharge and/or processing fee included).  
 Note: Petition to revive (37 C.F.R. 1.137(a) or (b)) is necessary if 35 U.S.C. 371 requirements submitted after 32 months and a proper demand for International Preliminary Examination was made by 19 months from the earliest claimed priority date.

12. At the time of transmittal, the time limit for amending claims under Article 19  
 a.  has expired and no amendments were made.  
 b.  has not yet expired.

13.  Certain requirements under 35 U.S.C. 371 were previously submitted by the applicant on \_\_\_\_\_, namely:

Date: Aug. 29, 2001

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PROCESS AND DEVICE FOR OBJECT IMAGING

The invention concerns a process for object imaging with high spatial resolution capability, particularly a light-optical microscope imaging process, and devices for performing this type of process.

The resolution capability of optical imaging systems is often decisively determined by the object-side aperture of an objective lens and its index of refraction. Light going out from object can only be detected if it hits the objective within the acceptance angle of the objective. The higher the resolution capability is, the higher the space frequencies of the object structure to be imaged which can be detected. The detection of the space frequencies is described by the light-optical transfer function or modulation transfer function (in the following: OTF) of the optical systems. The OTF indicates which space frequencies, from which the object can be constructed by means of Fourier transformation, are retained in the optical imaging, and/or how parts of the space frequencies are attenuated. The resolution capability of the optical system (e.g. a light-optical microscope) is determined by the range in which the OTF of the system does not vanish. If the OTF vanishes completely in sections of reciprocal space, it is impossible, without additional assumptions about the object structure (e.g. spatial limitation, positivity), to reconstruct the corresponding space frequencies in an object image. There is general interest in the extension of the OTF in the largest possible region in reciprocal space, in order to increase the resolution of the optical system.

Conventional processes for increasing the resolution capability are particularly directed toward a suitable selec-

tion of the object illumination. Thus, for example, in the confocal microscope the object is illuminated on one side preferably point by point with a focused beam of light and simultaneously scanned, with the detection often being limited to one small region of the object by means of a diaphragm (cf. e.g. US-A 4 631 581).

In the 4Pi microscope described in EP 0 491 289, there is coherent illumination and, depending on the embodiment, also detection on both sides of the object. In the wave field microscope, typically illumination is performed with coherent plane light waves from opposing sides (cf. e.g. US-A 4 621 911; F. Lanny et al. in "Bioimaging", Vol. 1, 1993, p. 187 et seq.; US-A 5 801 881). In the I<sup>5</sup>M-microscope, there is coherent illumination on both sides and coherent detection, in that the two images of the object are brought into interference on a locally resolving detector (cf. US-A 5 671 085; M. G. L. Gustafsson et al. in "Proceedings of SPIE", Vol. 2655, 1996, p. 62 et seq.). A theta microscope is described by E. H. K. Stelzer et al. in "Opt. Commun.", vol. 111, 1994, p. 536 et seq. and S. Lindeck et al. in "Handbook of Biological Confocal Microscopy", editor J. B. Pawley, Plenum Press, New York 1995, chapter 26, p. 417 et seq., in which light is detected from three sides, with illumination similar to confocal or 4Pi being used. Because the resolution along the optical axis of the illumination is particularly large for lateral detection in the object plane, one obtains a reduced focused volume overall.

Using a spatially varying (e.g. sinusoidally varying) illumination in stereomicroscopic surface topography processes is also known. By calculating the images measured, conclusions can be drawn about the surface structure of the object (cf. e.g. US-A 4 525 858; R. Windecker et al. in "Optical Engineering", vol. 36, 1997, p. 3372 et seq.).

A process for high-resolution three-dimensional imaging by detecting optical sections of the object, similarly to confocal microscopy, is described in WO 97/31282. It is based on taking multiple images, each with different patterns, from illumination apertures and associated detection apertures. Through suitable reconstruction processes, an image which is equivalent to that of a confocal microscope can be calculated from the data picked up. This process is also referred to as "Aperture Correlation Microscopy" (cf. also R. Juskaitis et al. in "Nature", vol. 383, 1996, p. 804 et seq, T. Wilson et al. in "Proceedings of the SPIE", vol. 2984, 1997, p. 21 et seq). A process is known from WO 98/45745 which is based on illumination with imaging of a diffraction grating or with two interfering laser beams (cf. also T. Wilson et al. in "Cell Vision", vol. 4, 1997, p. 231 et seq). A similar process for laterally increasing the resolution capability is used in the publication of R. Heintzmann et al. in "Proceedings of SPIE", vol. 3568, 1999, p. 185 et seq.

The conventional technologies have the following disadvantages. The imaging processes are connected with a relatively large technical outlay. Thus, particularly in the 4Pi, I<sup>5</sup>M, and theta microscopes, the adjustment is especially difficult. In addition, the processes are difficult to realize because they can be integrated in existing microscopic systems at great expense only. In the wave field microscope, it is a significant problem that the OTF has regions in the axial direction in which it vanishes. In addition, the wave field microscope and/or the 4Pi microscope do not provide any increase in resolution in the lateral direction in comparison with typical epifluorescence microscopy and/or confocal fluorescence microscopy.

Furthermore, many processes (particularly confocal laser scanning, 4Pi, and theta microscopy) are connected with a point by point scanning of the object. This is time-

consuming and problematic, above all in the imaging of time-dependent procedures. Scanning processes require very fast detectors (e.g. photomultipliers) which, however, often have a significantly lower detection efficiency than detectors with localized resolution (e.g. CCD's). In fluorescence microscopy, there is the additional problem that the useful illuminance is restricted by the maximum excitation rate of the pigment in the focus. This additionally restricts the maximum scanning speed.

Microscopic imaging processes based on non-linear effects are also known. Thus, for example, in US-A-5 034 613, US-A-5 777 732, US-A-5 828 459, and US-A-5 796 112, so-called multiphoton microscopy is described. The confocal effect is achieved in this case by the simultaneous absorption of multiple photons at specific object locations. Other techniques are based on stimulated emission (cf. US-A-5 731 588, DE-OS 44 16 558) or the depopulation of the ground state of fluorescence molecules by intentionally pumping them into the longer-lived triplet state (cf. S. W. Heil et al. in "Applied Physics B", vol. 60, 1995, p. 495 et seq.).

Until now, no significant increase of the resolution capability has been able to be achieved with the processes based on non-linear optical effects. This is particularly associated with the individual photons having to have relatively low energies and therefore large wavelengths to achieve multiphoton absorptions. In addition, the transfer efficiency at higher space frequencies is generally very poor, because typically only a very small part of the illumination pattern contains high space frequencies.

The object of the invention is to indicate an improved imaging process with a high resolution capability. The process is, in particular, to overcome the disadvantages mentioned above of conventional microscopy processes, to be able to be realized with a simplified technical outlay, to

allow rapid imaging, even of time-dependent procedures, and to be compatible with current optical systems. The process according to the invention is furthermore to allow known microscopic processes to be expanded in such a way that the resolution capability is additionally increased, while maintaining their respective advantages. It is also the object of the invention to indicate an optical system for performing these types of improved processes.

These objects are achieved by a process and an optical system with the features according to patent claims 1 or 14. Advantageous embodiments and applications of the invention arise from the dependent claims.

The basic idea of the invention is, for obtaining an object image (image of an object structure), to record at least two partial images of an object under different object conditions, which are implemented on the object with spatial patterns, with a non-linear function of the light detectable from the object point on the object conditions given at the object point existing for each object point and the partial images containing different contributions of various space frequency components of the object structure, and to obtain the desired object image from the partial images through reconstruction of the space frequency components. Achieving object conditions with various spatial patterns to detect the various partial images has the advantage that virtual higher and lower frequent space frequency components are produced in the pattern of the object conditions to which the space frequency components of the object structure are coupled. Due to this coupling, the space frequency components of the object structure are displaced relative to the space frequency interval which is open for image detection according to the light-optic transfer function (OTF). The complete object image can be reconstructed from the partial images with a correspondingly expanded space frequency range.

Through the use of a non-linear relationship between the light going out from the object and the local object point related value of a further spatially changing dimension (e.g. the local irradiation or illumination intensity), the space frequency range effectively transferred from the system as a whole can be significantly expanded. Through local variation of the influencing variable and the recording of multiple partial images, an object image can be reconstructed whose resolution is, due to the non-linearity, fundamentally higher than the resolution given by the Abbe limit. Various possibilities can be selected according to the application for generating non-linear effects. Obtaining the object image from the partial images is also possible with various types of data evaluation, depending on the application.

An important feature of the invention is the setting of predetermined object conditions with different spatial patterns. Object conditions, upon which the detected light is non-linearly dependent, are set point by point on the object corresponding to the current pattern. According to a first embodiment of the invention, the setting of predetermined object conditions is the formation of a spatial pattern of at least one object condition, upon which the respective amount of light detected is nonlinearly dependent. According to an altered embodiment of the invention, the setting of predetermined object conditions comprises the generation of a spatial pattern of at least two different object conditions, with there being a dependence of the quantity of light detected on a multiplicative linking of the object conditions and a linear or a non-linear dependence of the quantity of light detected on each of the object conditions. In general, a multilinear dependence of the quantity of light detected (e.g. the detected light intensity or light phase) is referred to.

The number of partial images depends on the number of space frequency components measurable during the image reconstruction and to be considered, depending on the application, in the pattern of the light sent out from the object. This number is particularly dependent on the object conditions used to introduce the nonlinearity and on the quality of the imaging process realized. If the number of space frequency components mentioned is  $Z$ , then, as a rule, at least  $Z$  partial images are to be recorded. However, depending on the case, it can also be sufficient to record fewer partial images if sufficient information for reconstruction of the object image is present. The number of partial images is permanently set or set automatically depending on the quality of the object image obtained and/or manually by the user of the optical system.

The process according to the invention particularly comprises the following steps: (a) adjustment of the conditions obtaining in the object which are able to influence the light going out from an object point in such a way that a non-linear dependence of the light intensity detected from an object point on the value of a spatial pattern contained in at least one object condition is produced in at least one detectable value or a linear dependence to one value at a time of the light intensity detected from this object point on the values of at least two spatial patterns is produced, (b) recording of at least one single image under these object conditions, (c) changing the object conditions in such a way that different space frequency components of the object formed by the recording process change in their amplitude and/or phase relationship to one another, (d) recording of at least one further single image under object conditions changed each time according to (c), and (e) evaluation of the measured images, in that the object conditions emphasized differently in the individual images are used to obtain information about the object, associated with space frequencies of the object, which was

not accessible through simple imaging with the recording process.

An optical system according to the invention for object imaging is particularly characterized by a pattern generator, which is set up for achieving and adjusting predetermined spatial patterns of object conditions on which the light detected from an object is nonlinearly dependent, and an image generator for reconstruction of the object image from the partial images detected.

The invention has the following advantages. The obtaining of object images can be realized relatively easily. The adjustment of the optical system is restricted to a minimum outlay for adjustment. The invention can be implemented by fitting existing imaging systems.

It is particularly advantageous that high space frequencies which are possibly strongly suppressed in imaging objectives can now be detected more efficiently due to the displacement in the frequency space. In addition to the lateral increase in resolution, there is also an axial increase in resolution and the capability of discriminating planes which are perpendicular to the optical axis in the axial direction. The invention thus provides advantageous usages such as confocal microscopy. With utilization of the non-linear dependency of the quantity of light detected on the object conditions, the possibility of a significant increase in resolution in the axial direction also results.

The invention can be advantageously used in combination with typical imaging processes, particularly microscopy processes. This particularly concerns the above-mentioned techniques and absorption microscopy, reflection microscopy, the locally resolved imaging of fluorescence lifetimes (so-called "fluorescence lifetime imaging"), mul-

tiphoton microscopy, interference microscopy, confocal microscopy, etc..

The imaging process according to the invention has a high image recording speed. The imaging can, for example, occur with a CCD camera at all object points in the image plane simultaneously and therefore can be significantly faster than scanning processes.

The invention can be realized using greatly differing non-linear effects. For example, in a fluorescence microscope, illumination can occur with an intensity such that fluorescence pigments in the object are saturated. This also allows various pigments or pigments in various environments (e.g. in various binding states), which can otherwise be differentiated only poorly, to be discriminated on the basis of differing non-linear characteristics (saturation characteristics).

Further advantages and characteristics of the invention will be obvious in the following from the description of the attached drawings.

Fig. 1 shows an illustration of object illumination in reciprocal space with sinusoidal illumination at low intensity,

Fig. 2 shows an illustration of object illumination in reciprocal space with a non-linearly distorted excitation pattern,

Fig. 3 shows a block diagram of an optical system according to the invention,

Fig. 4 shows characteristics of an optical system according to a first embodiment of the invention,

Fig. 5 shows characteristics of an optical system according to a further embodiment of the invention,

Figs. 6 and 7 show simulation results for illustration of a process according to the invention,

Fig. 8 shows a representation of a curve for illustration of the lateral resolution capability,

Fig. 9 shows a representation of a curve of the effective total OTF of an optical system according to the invention (with reconstruction), and

Fig. 10 shows examples of object images.

The invention is first described in the following with reference to the theoretical foundations and then explained with reference to various examples. The invention is not restricted to the exemplary embodiments given. Altered embodiments under correspondingly varied object conditions can be realized depending on the application, particularly within the framework of the following statement of the concept.

The object or sections of the object to be detected during optical imaging are generally referred to in the following as "object". Objects are, in particular, all types of biological or synthetic samples which are examined with typical imaging processes and localized resolution analysis processes, particularly microscopy and spectroscopy processes. Applications result, for example, in biology, medicine, chemistry, semiconductor technology, single molecule spectroscopy, and similar fields. The object can be solid, liquid, or gaseous.

"Object conditions" are generally understood to mean all parameters and/or conditions at the location of the object

with which one or more characteristics of the light going out from the object can be influenced. These characteristics particularly include the intensity, the polarization state, the phase, the color, the pulse shape, the pulse length, the degree of coherence, the photon correlation, and similar characteristics. Object conditions can comprise inherent physical or chemical characteristics of the object, such as a specific material composition, a temperature distribution, or a pressure distribution, or externally applied parameters, such as the illumination intensity on the object surface or in the object.

The implementation of the object conditions with a spatial pattern means that the object conditions can be described with a specific position dependency. The pattern can be characterized by a structure (e.g. a point or line pattern) or can also be structureless (the same object conditions apply for all points on the object). The recording of partial images under varying object conditions means that, between the exposures, the pattern itself is changed (e.g. with a DMD reflector, see below), the position and/or orientation of the pattern relative to the sample is changed (e.g. with a displaceable diffraction grating, see below), and/or an intensity characteristic of the pattern (e.g. with a variation of the intensity of the object illumination, see below) is changed.

A "non-linear dependence" of the light going out from the object and/or detected with a detector is given if its light intensity of the location of the light emission (or scattering or similar activity) does not measurably follow a simple linear model of the current object condition. In a development of functions, e.g. Taylor expansion (see below), of the detected light, terms of higher orders occur. According to the embodiments of the invention mentioned above, a non-linear dependence of the light intensity can be given by one object condition at a time or a linear de-

pendence of the light intensity can be given by multiple object conditions. In the latter case, mixed terms arise in the development of functions which allow the expansion described below of the detectable object space frequencies.

The "detected light intensity", which is the light intensity measured by the detector, can, depending on the application, deviate from the average light intensity obtaining at the location of the detector according to the functionality of the detector, if, for example, the detection is time modulated or a raw detector signal is correlated with other signals (e.g. through lock-in technology).

A "partial image" or "individual image" is generally understood to mean image data which are recorded with an imaging light-optical process in its widest sense. This can be a single data point, or multiple data points or data point regions recorded at one or various object points in one, two, three, or more dimensions. The alteration of object conditions according to the invention during the recording of various partial images can be provided for an alteration or modulation for each data point, for sections or groups of data points, or for entire two- or three-dimensional images or even time series.

"Detectable space frequency components" are generally understood to mean the components of the frequency space of the Fourier transforms of the objects which are detectable in principle with the respective imaging processes used.

The invention allows not only an object image with increased resolution capability, but also the obtaining of "information about the object". This is particularly understood to include the spatial distribution of one or more characteristics of the object or other parameters, such as the position in space of a partial object known by its structure or the composition of the object.

### Basic principles of image acquisition

Image recording is described in the following with reference to the example of fluorescence microscopy. In fluorescence microscopy, objects which are marked with fluorescence pigments or which fluoresce independently are imaged. Depending on the object structure and/or marking technique, marking pigments collect, for example, in specific sections (e.g. in the cell nucleus of a biological cell). To acquire the image, the object is irradiated with a suitable excitation wavelength and the emitted fluorescence radiation is detected. Fluorescence pigments emit with an intensity which is proportional at a first approximation to the intensity of the light irradiated at the location of the pigments. In contrast to absorption microscopy, reflection microscopy, or even phase contrast microscopy, the emissions generally occur incoherently to one another. Assuming a proportionality between the fluorescence intensity emitted at an object point to the light intensity of the excitation light irradiated there, a detected image  $I_m(\bar{x})$  (converted back into object space coordinates  $\bar{x}$ ) can be described as follows. The position dependent illumination intensity ( $Bel(\bar{x})$ ) is multiplied by the pigment concentration  $Obj(\bar{x})$  present at the respective object point (object structure) and the result folded with the point spread function (PSF) of the imaging system (cf. equation (1)).

$$I_m(\bar{x}) = PSF(\bar{x}) \otimes (Bel(\bar{x}) \cdot Obj(\bar{x})) \quad (1)$$

In reciprocal space, this translates into the folding of the Fourier transformed illumination function  $F(Bel(\bar{x}))$  with the Fourier transformed object function  $F(Obj(\bar{x}))$  and subsequent multiplication with the light-optical transfer function  $OTF(\tilde{k})$  ( $F$  refers to the Fourier formation here

and in the following, the coordinates in reciprocal space are indicated with  $\vec{k}$ ). Analogously to equation (1), the following results:

$$F(I_{em}(\vec{x})) = OTF(\vec{k}) \cdot (F(Bel(\vec{x})) \otimes F(Obj(\vec{x})))$$

Generalized to other microscopy processes,  $Obj(\vec{x})$  indicates the respective value or the density of the characteristics of the object which is to be detected, and  $PSF(\vec{x})$  indicates the effective point spread function of the entire system (image acquisition and reconstruction). This often still approximately applies even in iterative or non-linear reconstruction processes.

In typical imaging systems, the range of the OTF not equal to the value zero, which is also referred to as the "carrier", is restricted by the numeric aperture and the wavelength of the light to be imaged to a specific space frequency range (cf. also US-A-5 671 085). Similarly, the Fourier transformation of the illumination function  $F(Bel(\vec{x}))$  has the extent of its carrier restricted by the light wavelength and, possibly, apertures of the illumination system.

According to the invention, it is thus provided that the effective range of detectable space frequencies of the object  $F(Obj(\vec{x}))$  ("object space frequencies") be expanded on the basis of the following considerations. With the introduction of a non-linear dependence of the light intensity detected on the object conditions, the right part of equation (1) can be written, generalized according to the expressions (2) and/or (3), as follows:

$$PSF(\vec{x}) \otimes I_{em}(Obj(\vec{x}), \vec{b}(\vec{x})) \quad (2)$$

$$\Leftrightarrow OTF(\vec{k}) \cdot F(I_{em}(Obj(\vec{x}), \vec{b}(\vec{x}))) \quad (3)$$

According to the expression (2), no linear connection between the light intensity  $I_{em}(\vec{x})$  emitted from an object point and the light intensity irradiated there (contained in  $\vec{b}(\vec{x})$ ) is assumed. Rather,  $I_{em}(\vec{x})$  is now a general function of the value of the object structure to be detected and other factors  $\vec{b}(\vec{x})$ , which represent the non-linear conditions. The vector arrow over  $\vec{b}$  is to indicate that there can be multiple conditions or characteristics  $b_i$ . An important factor  $b_i$  is the light intensity  $Bel(\vec{x})$  irradiated on the location  $\vec{x}$ . Other factors are mentioned below for exemplary purposes. According to equation (4),  $I_{em}(\vec{x})$  is approximately expressed as a Taylor series with constant coefficients  $c_i$ :

$$\begin{aligned} I_{em}(\vec{x}) \cong & c_0 + c_1 \cdot Obj(\vec{x}) + c_2 \cdot b_1(\vec{x}) + c_3 \cdot Obj(\vec{x}) \cdot b_1(\vec{x}) \\ & + c_4 \cdot Obj(\vec{x})^2 \cdot b_1(\vec{x}) + c_5 \cdot Obj(\vec{x}) \cdot b_1(\vec{x})^2 + \dots \\ & + c_6 \cdot Obj(\vec{x}) \cdot b_1(\vec{x})^3 + \dots \end{aligned} \quad (4)$$

For simplified presentation, only one non-linear condition  $b_1(\vec{x})$  is considered in equation (4). Depending on the application, further conditions could be involved in the process of the emission of light from the respective object point. In this case, corresponding terms also arise in the development according to equation (4), particularly mixed terms such as  $c_{5b} \cdot Obj(\vec{x}) \cdot b_1(\vec{x}) \cdot b_2(\vec{x})$ . The term after  $c_3$  is precisely the expression mentioned in equation (1) if  $b_1(\vec{x}) = Bel(\vec{x})$  is assumed as the non-linear object condition. The Fourier transformation of the emitted light intensity  $F(I_{em}(Obj(\vec{x}), \vec{b}(\vec{x})))$  thus contains, analogously to equation (1), the term  $c_3 \cdot F(Bel(\vec{x})) \otimes F(Obj(\vec{x}))$ .

The Fourier transformation of the illumination intensity  $F(Bel(\vec{x}))$  can be represented as the sum of multiple individ-

ual  $\delta$ -functions. Depending on the current illumination pattern, parts of the Fourier transformed object function  $F(\text{Obj}(\vec{x}))$  are thus displaced by the folding with the Fourier transformed illumination function and added with corresponding weighting. This is illustrated in Fig. 1.

Fig. 1 shows the structure of the excitation distribution in reciprocal space with sinusoidally distributed, low illumination intensity corresponding to a typical spatially patterned illumination. The arrows pointed upward indicate the maxima which result from the sinusoidal excitation (Fourier transformation of the sine function). In addition, the structure of the Fourier transformations of the object function  $F(\text{Obj}_{+i}(k))$  "coupled" to the maximum  $k_p$  is indicated. The Fourier transformations of the object function coupled to the other maxima are not indicated for reasons of clarity. Actually, however,  $\text{Obj}(\vec{k})$  is "coupled" to each virtual maximum because  $\text{Obj}(\vec{k})$  is to be folded with the virtual space frequency components in the pattern of the object conditions in reciprocal space. Only the central portion of emitted space frequencies (indicated as the carrier of the OTF) is accessible to detection.

How the detectable range is established ("punched out") from the sum corresponding to the folding mentioned by the optical imaging (multiplication with the space frequency limited OTF) is illustrated as an example in Fig. 1. The range of detectable object space frequencies is significantly expanded for illumination with a specific pattern relative to the case of a uniform illumination. With the reconstruction process described below, the displaced object space frequencies can again be combined into a consistent image.

According to equation (4), in the non-linear case, terms of higher order in  $b_i(\vec{x})$  also provide contributions to  $I_{em}$ , such as the terms with the factors  $c_5$  and/or  $c_6$ . The Fou-

rier transformations of these terms are also contained in  $F(I_{em}(\text{obj}(\bar{x}), \bar{E}(\bar{x}))$ . With  $b_1(\bar{x}) = \text{Bel}(\bar{x})$ , one also obtains the term  $c_5 \cdot [F(\text{Bel}(\bar{x})) \otimes F(\text{Bel}(\bar{x}))] \otimes F(\text{Obj}(\bar{x}))$  in the expression 2. With a certain component in the image, it is now possible to measure space frequencies of the object which were previously not accessible, because they could not yet be displaced, by folding with the space frequency limited function  $F(\text{Bel}(\bar{x}))$ , into the range detectable by means of OTF. The extent of the carrier from  $F(\text{Bel}(\bar{x})) \otimes F(\text{Bel}(\bar{x}))$  can now, however, be correspondingly larger, with higher space frequencies thereby also displacing into the range corresponding to the OTF and thus being measurable in the image. Further higher orders work out correspondingly in further foldings with the Fourier transformations of  $b_1(\bar{x})$ , so that even higher object space frequencies are detectable. In principle, it is possible to detect space frequencies of the object of any desired height and thereby to increase the resolution as much as desired, if corresponding coefficients are present in the series expansion according to equation (4). However, in practice, the resolution achievable during reconstruction is often restricted by the signal-noise ratio attainable at the high object space frequencies.

The effective occurrence of higher and lower frequency components of the illumination pattern in reciprocal space is illustrated in Fig. 2 with reference to the example of fluorescence microscopy. If the object is irradiated with a sufficiently high illumination intensity, a non-linear dependence of the fluorescence emission on the excitation intensity (saturation of the fluorescence) results and therefore a pattern of excitability of fluorescence (in the following: excitation pattern) of a specific pigment, which consists in principle of infinitely many (virtual) maxima in reciprocal space, whose absolute height quickly falls, however, as  $|\bar{k}|$  increases. As explained above in the example of the relatively weak excitation intensity (linear

case, Fig. 1), the object function is coupled to each of the components of the illumination function. All information, particularly about the high-frequency local frequencies of interest of the object function, is therefore contained in a detector signal recorded as partial image  $I_m$ . The reclamation of this information is described below in connection with image reconstruction.

Fig. 2 illustrates the structure of the excitation distribution in reciprocal space for a non-linearly distorted excitation pattern. A coupled structure of the Fourier transformations of the object function ( $Obj_2(\vec{k})$ ) is drawn to the virtual maximum  $n = +2$  corresponding to  $2k_b$ . For practical purposes,  $Obj(\vec{k})$  is in turn coupled to each maximum (not shown for reasons of clarity) and overlapped with displaced, varyingly intensive, and phase-shifted versions of itself. Only the central portion of emitted space frequencies (carrier of the OTF) is accessible to detection.

The object of optical imaging is obtaining an object image, i.e. the function describing the object  $Obj(\vec{x})$ . This function must be reconstructed from the measured partial images  $I_m$ . The reconstruction of the object image is based on the factors  $b_i(\vec{x})$  being extracted from the partial images  $I_m$  or being known independently of the image acquisition. The differentiation according to the invention of the factors from the function  $Obj(\vec{x})$  describing the object is performed in that the factors  $b_i$ , i.e. the frequently spatially patterned object conditions, are varied.

The variation of the object conditions can occur in various ways. A first possibility is to implement  $b_i(\vec{x})$  as a spatial pattern, which is displaced on the object to record each of various partial or single images. Alternatively, it is also possible to change the strength of the pattern (contrast of the object conditions set on the entire object) or the shape of the pattern to record each of the partial images.

In each case, the components shown in the expansion according to equation (4) are different.

The image acquisition of the process according to the invention is based on recording at least two partial images with different object conditions being varied, among them the factors  $b_i(\vec{x})$ , with which the detected light intensity has a non-linear relationship. The reconstruction of the object image from the partial images is explained in the following.

#### Reconstruction of the object image

According to a preferred embodiment of the invention, the terms contained in the Taylor expansion according to equation (4) are established by the solution of an equation system and thus separated from one another, if they have a measurable influence. The equation system, whose determination is explained in detail below, can be determined and, in principle, solved at each point in the range of the carrier of the OTF in reciprocal space, in spite of multiplication with the OTF.

Through displacement in Fourier space (or through multiplication with  $\exp(i\vec{\Delta k}\vec{x})$  in the local space,  $\vec{\Delta k}$ : frequency space displacement vector), the individual components can then be combined in such a way that a high-resolution image results. This can, if necessary, be processed with further deconvolution techniques in order to further increase the image quality.

As already known from US-A-5 671 085, the illumination of the object with a pattern made of the highest possible space frequencies results in an increase in resolution relative to typical light-optical microscopy. Through the utilization according to the invention of a non-linear relationship between the strength of the pattern, e.g. values

of specific object conditions, at an object point and the light intensity going out (emitted and/or diffracted) from this object point, it is possible to calculate an image with an even higher local resolution.

An example for the formation of a pattern of object conditions is given in the excitation of fluorescence with a position-dependent distribution of intensive excitation light. The non-linear dependence of the light detected by the detector can, for example, occur due to the saturation of the excitation of fluorescence pigments present in the object. If the excitation light has a sufficiently high intensity, one obtains a non-linear relationship between the irradiated and the emitted light intensity on the object observed (cf., for example, D. R. Sandison et al. in "Handbook of Biological Confocal Microscopy", Plenum Press, New York and London, 2nd edition, 1995, chapter 3, pp. 47 to 50; and R. Y. Tsien et al. in the "Handbook of Biological Confocal Microscopy" cited, chapter 16). The detected light thus also contains information about space frequencies of the object which would otherwise not be accessible. However, each image recorded in this way contains a mixture of components of higher space frequencies, which can then, however, be separated and combined into a consistent, high-resolution image by recording under varying conditions and counterbalancing multiple partial images.

The equation system for separation of the components of the Taylor expansion according to equation (4) is, for example, determined with the following steps in the case of fluorescence microscopy for illumination with a structure similar to a linear grating.

The intensity distribution of the excitation light is approximately described in this example by a sine function displaced into the positive range. In the ideal case, point-shaped maxima at  $\vec{k}=0, \vec{k}=+\vec{k}_b$ , and  $\vec{k}=-\vec{k}_b$  result as

Fourier transformations (cf. Fig. 1). These maxima have, depending on the degree of modulation, a specific energy and a specific phase angle in the complex plane, which depends on the position and/or the displacement (location) of the pattern of the excitation light. Through the influence of the non-linear dependence of the fluorescence emissions on the excitation intensity (saturation of the fluorescence), the pattern shown in Fig. 2 with lower and higher frequency components in reciprocal space results, for example, as the excitability pattern for a specific fluorophor type.

For reconstruction of the object image, it is sufficient to truncate approximately at a finite space frequency value  $\vec{k}_{\max} = \pm m \vec{k}_b$  and to consider only maxima with smaller space frequencies in the calculation.

If the excitation light pattern is displaced relative to the object, the respective complex phase locations of the individual point-shaped maxima in the Fourier space change. If one considers  $\pm m$  excitation maxima and the maximum at  $\vec{k} = 0$ , one therefore requires  $Z = 2m + 1$  images recorded under various conditions in order to be able to separate the individual components of the object image through the microscope, which are folded (i.e. displaced) with the current maximum (in Fig. 2, only one component is illustrated). It can, for example, be provided that  $Z = 5$  maxima are considered. The phase angle of the maxima in the frequency space of the excitation pattern moves upon displacement of the pattern proportionally to  $n|\vec{k}_b|$ , because a displacement in the space by  $\Delta \vec{x}$  corresponds to a multiplication in the frequency space with  $\exp(i\vec{k}\Delta\vec{x})$ . In this case,  $n$  corresponds to the number of the current space frequency component (cf. Fig. 2). Therefore, if various images (partial image)  $I_n(\vec{k}) = F(I_n(\vec{x}))$  of the object are recorded, each with the phase location of the illumination pattern (i.e.

the excitation pattern) displaced by a fifth of the basic pattern relative to one another, the following equation system results:

$$M \cdot \begin{pmatrix} Obj_0(\tilde{k}) \\ Obj_{+1}(\tilde{k}) \\ Obj_{-1}(\tilde{k}) \\ Obj_{+2}(\tilde{k}) \\ Obj_{-2}(\tilde{k}) \end{pmatrix} = \text{const} \cdot \begin{pmatrix} I_0(\tilde{k}) \\ I_1(\tilde{k}) \\ I_2(\tilde{k}) \\ I_3(\tilde{k}) \\ I_4(\tilde{k}) \end{pmatrix}$$

$$M = \begin{bmatrix} 1 & 1 & 1 & 1 & 1 \\ 1 & \exp(i2\pi/5) & \exp(-i2\pi/5) & \exp(i4\pi/5) & \exp(-i4\pi/5) \\ 1 & \exp(i4\pi/5) & \exp(-i4\pi/5) & \exp(i8\pi/5) & \exp(-i8\pi/5) \\ 1 & \exp(i6\pi/5) & \exp(-i6\pi/5) & \exp(i12\pi/5) & \exp(-i12\pi/5) \\ 1 & \exp(i8\pi/5) & \exp(-i8\pi/5) & \exp(i16\pi/5) & \exp(-i16\pi/5) \end{bmatrix}$$

In this equation system,  $Obj_n(\tilde{k})$  corresponds to the displaced complex value components of the Fourier transformed object (object space frequencies) belonging to the n-th maximum of the excitation pattern, which are then transmitted by the OTF of the imaging system.

The solution of the equation system provides the individual object components which belong to the respective maximum of the excitation pattern. The solution can, for example, be performed by inverting the matrix M. Through multiplication of the inverse matrix ( $M^{-1}$ ) with the vector on the right of the measured intensities  $I_n(\tilde{k})$ , the individual transmitting object components are determined. If more measurement data are present than is necessary for solving the reconstruction task, an analogous non-quadratic or rectangular matrix can, for example, be drawn up. Then, for example, the process of the pseudo-inverse matrix is usable for the calcula-

tion of the solution, which allows a solution with the smallest proportion of errors to be determined.

Due to the linearity of the Fourier transformation, the calculation is preferably performed point by point or pixel by pixel in real space.

The complex value components  $\text{Obj}_n(\vec{k})$  can now be displaced by the vector  $\vec{\Delta k}$  in the Fourier space (or by the corresponding multiplication in real space discussed above), so that the respective space frequency  $\vec{k}$  ends up where it would be measured in a non-patterned, uniform illumination.  $\vec{\Delta k}$  is therefore  $-nk_b$  in this case.

In a further step, a correction of the components  $\text{Obj}_n(\vec{k})$  in their complex phase locations is provided, according to the mutual phase location  $\phi_n$  of the frequency space excitation maxima in the image  $I_n$  (multiplication with  $\exp(-i\phi_n)$ ). Subsequently, the components  $\text{Obj}_n(\vec{k})$  are combined, if necessary through weighted addition, into a consistent image (the desired object image). In this way, an extension of the carrier of the entire OTF to a range significantly enlarged relative to the linear image and therefore an increase of the resolution capability is made possible.

The displacement of space frequency components illustrated can be performed in different space directions. This can occur successively through varying orientation of the illumination pattern or simultaneously through illumination with a multidimensional structure. The resolution capability can be increased in one, two, or three dimensions. The overall transfer function can be altered still further, subsequently or in intermediate steps, by appropriate filters and/or application of unfolding techniques known per se.

The reconstruction of the object image is not restricted to the described solution of the equation system with the matrix methods. Alternatively, for example, squaring techniques (analogous to WO 98/45745) or algebraic and/or iterative reconstruction processes (maximum likelihood/expectation maximization, maximum entropy, algebraic reconstruction, and similar methods) could be used.

The invention is not restricted to the non-linear excitation of fluorescence emissions. The procedure can also be implemented correspondingly with any desired other factors  $b_i$  which are suitable, alone or in interaction with one another, particularly in interaction with the illumination intensity, for influencing the light going out from the object.

Embodiments of optical systems according to the invention

An optical system according to the invention is illustrated in Fig. 3. The components of a typical microscope known per se are indicated with dashed frames. The optical system 100 is constructed with an illumination unit 10, at least one pattern generator 20, 20', 20", an illumination optic 30, an imaging optic 50, a detector unit 60, and an image generator 70. The reference number 40 refers to the sample to be examined with the system 100. The components 10, 30, 50, and 60 can be provided by optical components known from optical-light microscopy and from optical measurement and analysis technology, e.g. spectroscopy. Depending on the application, the components 30 and/or 50 can be dispensed with or formed by a shared component. It can also be provided that the components 20 and 30 be formed by a shared optical component.

The pattern generator 20, 20', and/or 20" is generally a device for forming object conditions with a predetermined spatial pattern on the object 40. Depending on the applica-

tion, the pattern generator 20 can be a mask with a specific one- or two-dimensional transmission characteristic corresponding to the desired pattern. The mask can particularly be formed by a diffraction grating (cf. Fig. 4) (or phase grating) or a matrix assembly made from individually drivable reflector or transmission pixels. In the latter case, DMD units ("digital mirror device") and/or LCD matrix assemblies are used. The pattern generator can also comprise a mirror assembly for generating an interference pattern (cf. Fig. 5) or a device for direct physical or chemical influencing of the object 40 (e.g. a heating device or an ultrasound transmitter). In contrast to the component 20 in Fig. 3, the pattern generator can also be provided between the illumination optic 30 and the object 40 (component 20") or directly on the object 40 (component 20').

The image generator contains a device for reconstruction of the object image corresponding to the principles explained above. A specially designed circuit or an appropriately programmed computer can be used as the image generator. The image generator can contain additional memory, control, and/or display components.

Fig. 4 shows features of an optical system according to the invention using the example of an epifluorescence microscope 100. The illumination device 10 is formed by an intensive light source. Because high light intensities are necessary for the utilization of non-linear effects, a pulsed light source, e.g. a pulse laser or a flash lamp, is preferably used. The illumination device can, however, also be formed by a high-pressure vapor lamp (e.g. mercury vapor lamp). An adjustable and possibly rotatable and/or movable diffraction grating 22, which is located corresponding to position 21 of the image field screen in a conjugated object plane, is provided as the pattern generator 20 (mask) as an example. The diffraction grating 22 is a transmission grating with a grating interval of, for example, 30  $\mu\text{m}$ . The

diffraction grating 22 is positioned on an adjustment drive (not shown).

The illumination optic 30 has an excitation filter 31, a dichroitic mirror 32 for coupling the excitation light into a microscope column and objective lenses 33. At the location of the object 40, an image of the diffraction grating 22 is formed in the corresponding focal plane as illumination for the sample to be examined. The imaging lens 50 is formed in turn by the objective lenses 33, an emission filter 51, and an optionally provided optic 52 for image enlargement. The detector device 60 is a CCD detector, by which data is transmitted to the image generator (not shown).

In the assembly according to Fig. 4, the diffraction grating 22 can be replaced by a DMD device or an LCD matrix assembly which is positioned in the light path between the illumination device 10 and the object 40. In this case, an additional reduction optic is provided between the components 20 and 40. A DMD device comprises, for example, approximately  $400 \cdot 600$  reflector elements with a pixel size of approximately  $17 \cdot 17 \mu\text{m}^2$ .

For the recording according to the invention of multiple partial images with different phase locations, the diffraction grating 22 is displaced in small steps relative to the object 40. The step width depends on the structure dimensions of the mask and the number of partial images to be recorded and is, for example,  $30/7 \mu\text{m}$  for a  $30 \mu\text{m}$  structural dimension and 7 partial images. Alternatively, a displacement of the object 40 can be provided for a fixed diffraction grating 22, with this, however, requiring additional steps of image correction during the reconstruction of the object image. Alternatively, it is also possible to influence the phase of the various diffraction maxima directly through suitable optical elements. The minimum num-

ber of exposures of partial images necessary for the reconstruction of the complete image results from the number of unknowns of the associated equation system (see above). At least two partial image exposures are intended.

In order to increase the resolution in all spatial directions, the object 40 is illuminated successively with patterns at various angles or with a mask, such as with the DMD or LCD device with a two-dimensional pattern which produces the diffraction maxima in multiple directions of the plane, in various phase locations in each dimension.

By providing a series of focuses, one can obtain still more information about the axial structure of the object and thereby derive three-dimensional object images. This is simplified even more, on one hand, by the incoherent light source and, on the other hand, by the presence of the zero point diffraction order of the grating. A further increase in resolution can be achieved by rotating the object under the optical system around an axis perpendicular to the optical axis.

The object 40 is illuminated and/or excited with instantaneous intensities in such a way that the pigments in the sample become saturated, so that the desired non-linear effect for increasing the resolution capability results. The components of the overlapping individual orders sought can be calculated from the images for various phase locations of the excitation structure. It is also possible to reconstruct high-resolution images from exposures of partial images with varying illumination intensity. If one suppresses the zero point diffraction order of the diffraction grating 21 (e.g. by masking), one thereby advantageously increases the degree of modulation of the illumination function and therefore the relative intensity in higher orders of excitation. In addition, the energy can be displaced into higher space frequency ranges.

In the altered design of an epifluorescence microscope 100 according to Fig. 5, the sample (the object) 40 is illuminated with laser light. The light source 10 is preferably a pulse laser (e.g. with an optical-parametric oscillator which is pumped with a frequency-tripled NdYAG laser, or with a Ti-sapphire laser), but can also be formed by another sufficiently intensive light source. The pattern generator 20 is formed by a group of flat mirrors 23-27, which are set up for the purpose of dispersing the excitation light from the light source 10 onto various beam paths, which meet the object 40 from various directions. The excitation light is directed onto the object from two opposing sides with the semitransparent mirrors 23 and the completely reflecting mirrors 25-27. Optionally, the second semitransparent mirror 24 can be provided in order to form a third excitation light path to the object 40. Three excitation light beams which interfere with one another result, which allows a use of the microscope 100 in extremely high-resolution 3-D microscopy. For two-dimensional applications, the mirror 24 can be dispensed with. The beams interfere over a range which is of the magnitude of the coherence length of the light source 10.

To change the object conditions (interference pattern at the object 40) to record various partial images, at least two mirrors are positioned movably. For example, it is provided that the mirrors 24 and 25 are displaceable for changing of the interference pattern. Alternatively, at least one electrooptical element for changing the phase location of the illumination light is provided in one of the partial light paths for changing the object conditions.

The illumination optic 30 is not shown in Fig. 5 for reasons of clarity, but can, if necessary, be left out anyway depending on the structural shape. The imaging optic 50 comprises the objective lenses 53, the emission filter for

absorption of the excitation light 51, and optionally a optic 52 for image enlargement. A CCD detector 60 is again provided as the detector.

In order to obtain good axial discrimination, the excitation light can also fall on the object 40 simultaneously from one or more directions through the objective (not shown) and/or from the side away from the objective, which is in the differential region of the excitation light beams (crosshatched region). The additional increase in resolution achievable through nonlinearities can in turn be achieved through the use of correspondingly stronger lasers and/or pulse lasers with high instantaneous intensities. The usage of other intensive light sources (e.g. flash light) is possible and sometimes advantageous.

To perform the process according to the invention, multiple partial images are recorded, each with differing interference patterns on the object 40, and processed according to the principles for obtaining the object image explained above.

The exemplary embodiments described are based on the usage of the non-linear dependence of the light detected on the intensity of the excitation light due to saturation of fluorescence pigments. Alternative non-linear effects are given by the saturation of the absorption of excitation light under intensive illumination, the dependence of the phase of the emitted or scattered light on the illumination intensity present in the object, which converts in the detector (e.g. the interference) or before it into a non-linear intensity dependence, SHG or THG processes, a dependence of the light characteristics of the Raman scattering on the value of one or more object conditions, temporally coherent effects (e.g. Rabi oscillations) on atoms or molecules in the object, CARS processes, multiphoton absorptions, stimulated emissions in the object, the popula-

tion of longer-lived excitation states or chemically altered states in the fluorophors before or during the illumination, radiation-free energy transfer processes, and/or physical or chemical object conditions.

Particularly for the use of temporally coherent effects (Rabi oscillations) on atoms or molecules or fluorophors in the object (in solution, in solid bodies, in gases, or under vacuum conditions), illumination devices with extremely short pulse lengths (e.g. < 100 fs) are preferably used. If the non-linear effect is based on stimulated emission, this is induced simultaneously or in temporal sequence. The stimulated emission can be induced at the same wavelength as that of the excitation light or at other wavelengths, e.g. at a typical fluorescence wavelength. The usage of energy transfer processes means that energy of the excitation radiation is transmitted with or without radiation by fluorophors onto neighboring fluorophor molecules and thereby a multilinear dependence of the emitted light intensity on the intensity irradiated onto the neighboring location arises.

The physical or chemical changes of the object conditions particularly include the usage of the non-linear dependence of the light going out from the object points on a spatially inhomogeneous electric or magnetic field or on the pressure, shear forces, or mechanical tension relationships obtaining at the object point. A temperature gradient formed on the object can also be provided corresponding to a specific pattern, which provides the desired multilinear dependence of the light going out from the object point on the respective temperature obtaining. A multilinear dependence of the light going out from the object point on the chemical conditions obtaining there (e.g. pH value) can also be used. In addition, an irradiation of the object with radio waves, microwaves, infrared light, x-rays, or even sound waves or ultrasound waves can be used.

The pattern generator is appropriately adjusted for realization of the effects mentioned. For example, the pattern generator can comprise an additional irradiation device whose emission is focused on the object.

Figs. 6 and 7 illustrate simulation results for the use of a fluorescence microscope according to Fig. 4. The light intensity is represented here as blackening. For reasons of print technology, the image quality is restricted. For better visualization of the illumination, a constant background fluorescence is assumed in the object (Figs. 6a, 7a). Fig. 6a shows the simulated object, whose illumination with the processes described and imaging with an epifluorescence microscope is simulated. The partial images b-h represent simulations of partial images, each taken with different phases of the illuminating line pattern. Fig. 6i shows an example in which the direction of the illuminating pattern is also changed. The excitation intensity was larger by a factor of 5 than the saturation intensity in this simulation. The maximum expected photon count was 560 photons/pixel in the individual images. Fig. 7 shows the associated reconstruction results. Fig. 7a repeats the original image of the simulation. After filtering with the point spread function of a simulated microscope and a Poisson distributed increase of noise (maximum = 560 photons), the image from Fig. 7b results. The reconstruction according to the invention from partial images, which was simulated with illumination patterns under 3 rotational angles analogously to the Figs. 6b to 6h, is shown in Fig. 7c. In this case, no amplification of higher space frequencies is performed. If the high frequency amplification intrinsic to the system also occurs, the image according to Fig. 7e results from Fig. 7c. The usage of a corresponding high-frequency amplification with the (conventional) image according to Fig. 7b would merely result in an image according to Fig. 7d. The comparison of the images of Fig. 7e and Fig. 7d shows the

superiority of the process according to the invention of reconstruction of higher space frequencies from the recording of multiple partial images. Fig. 7f illustrates the associated simulated carriers of the OTF achieved with the process for a number of maxima  $Z = 7$  considered.

Fig. 8 illustrates the improvement of the resolution capability with the process according to the invention. The intensity on a reference line along the two perpendicular columns in the point matrix (above left in the original image) is illustrated in the Figs. 7d and 7e. The solid line corresponds to the result of the process according to the invention. The single points are clearly recognizable as maxima. With the typical process (epifluorescence followed by high frequency amplification), only the first point (partially) and a maximum between the two lowermost points are recognizable. An improvement of the resolution capability by a factor of more than 3 results for the simulated imaging of a point.

Fig. 9 shows a lateral section through the simulated effective optical transfer function of the overall system according to Fig. 4. The grating interval of the diffraction grating is selected here in such a way that only the diffraction orders 0, +1, and -1 of the diffraction grating can be transmitted by the objective. Through the partial saturation of the pigments involved, a non-linear relationship between the excitation intensity and the probability of excitation of a pigment molecule at one point in the object space results. This spatially varying excitation probability is also referred to as the excitation pattern. If one assumes that the excitation probability for a specific pigment molecule is a function of the excitation intensity, then a nonlinearity of this function leads to spatially higher harmonics of the excitation pattern also occurring in the emission pattern. Maxima in reciprocal space which lie beyond the space frequency limit given by the Abbe

limit can then occur in the excitation pattern. The space frequency limited imaging of the multiplication of the pigment distribution with the excitation pattern now contains components analogous to a linear excitation with a pattern containing higher space frequencies.

In Fig. 9, it can be seen in detail that the transfer function in a typical image with a microscope objective (with subsequent application of high frequency amplification) is relatively narrow, corresponding to the solid line, while in contrast the transfer function for the use of saturated illumination (with high frequency amplification) is significantly expanded (shown as a dashed line).

Fig. 10 shows a simulated application of the process according to the invention on a sectional image of the cell nucleus of an embryonic bovine cell recorded by means of electron microscopy. Fig. 10a illustrates the inverted electron microscope section near the nuclear membrane with the nuclear matrix. The simulated epifluorescence microscopy exposure with unfolding results in the typical image shown in Fig. 10b. With use of the process according to the invention, the image shown in Fig. 10c results. The image taken and evaluated with the method of saturated lateral modulation and subsequently unfolded (maximum: 560 photons in the single image) is significantly improved compared to the typical image and comparable with the original electron microscope image.

The features of the invention disclosed in the preceding description, the drawings, and the claims can be significant for the realization of the invention in its various embodiments individually and in any desired combination.

CLAIMS (as originally filed and published)

1. Process for obtaining an object image of at least one object (40), wherein at least two partial images of the object (40) are taken under differing object conditions which are formed on the object with spatial patterns, wherein a non-linear dependence of the light detectable from the object point on the object conditions obtaining at the object point exists and the partial images contain different contributions of various space frequency components of the object structure, and the desired object image is determined from the partial images by reconstruction of the space frequency components.
2. Process according to claim 1, wherein spatial patterns of at least one object condition are formed, for each of which the non-linear dependence of the detected light emitted from the object point exists.
3. Process according to claim 1, wherein spatial patterns are formed by at least two object conditions, for which a dependence of the detected light on a multiplicative linking of the object characteristics and a linear or a non-linear dependence of the detected light on each of the object conditions exists.
4. Process according to one of the preceding claims, wherein the spatial pattern is given by a pattern of an illumination intensity on the object (40) and the object (40) is illuminated with the pattern of the illumination intensity in such a way that a non-linear dependence of the light intensity, detected at a detector device (60), coming out from an object point to

the illumination intensity obtaining at this object point exists.

5. Process according to one of the preceding claims, wherein the non-linear dependence of the detected light is formed by a saturation of fluorescence light of fluorophors under intensive illumination, a saturation of the absorption of illumination light under intensive illumination, a dependence of the phase of the emitted or scattered light on the illumination intensity present in the object, SHG or THG processes, a dependence of the light characteristics of the Raman scattering on the value of one or more object characteristics, temporally coherent effects on atoms or molecules in the object (40), multiphoton absorption, CARS processes, stimulated emission, population of longer-lived states or chemically altered states, radiative or radiation-free energy transfer processes of fluorophors to neighboring fluorophors, nonhomogeneous electric or magnetic fields obtaining at the object point, pressures, shear forces, or mechanical tension relationships obtaining at the object point, temperatures obtaining at the object point, chemical relationships obtaining at the object point, and/or additional object irradiations with electromagnetic rays or sound waves.
6. Process according to one of the preceding claims, wherein the spatial pattern of an object condition in reciprocal space can be described or approximately described by a number of points which are distributed in one, two, or three dimensions, or is formed spatially periodically or approximately periodically in one or more dimensions in the location space.
7. Process according to one of the preceding claims, wherein the object and the spatial pattern are dis-

placed in one or more directions relative to one another to achieve various object conditions.

8. Process according to claim 7, wherein the pattern is generated by a mask or by interference and a displacement of the mask is achieved by displacement of the phase of various diffraction maxima.
9. Process according to one of the preceding claims, wherein the object conditions are changed according to a predetermined temporal structure and the partial images are taken at various times.
10. Process according to claim 9, wherein the illumination intensity is varied to generate different object conditions.
11. Process according to one of the preceding claims, wherein the reconstruction of the object image from the partial images is performed by solving an equation system, taking into account the non-linear dependencies, or by an iterative procedure.
12. Process according to one of the preceding claims, wherein the position of the object or one or more partial objects of the object (40) is established.
13. Process according to one of the preceding claims, wherein the reconstruction of the object image is performed taking into consideration a previously known structure of the object (40) or of parts of the object (40).
14. Optical imaging system (100) having an illumination device (10) and a detector device (60), which are set up for illuminating an object (40) and recording an image of the object (40) or of parts of the object

(40), characterized by at least one pattern generator (20, 20', 20") for generating at least one changeable spatial pattern of object conditions on the object (40), with the illumination device (10) and/or the pattern generator (20, 20', 20") being set up for generating object conditions on which the light detectable by the detector device (60) is nonlinearly dependent, and an image generator (70) for reconstruction of an object image from partial images which were recorded with the detector device (60).



15. Optical system according to claim 14, wherein the pattern generator (20, 20', 20") comprises a mask with which a spatial pattern of an illumination intensity can be formed on the object (40).
16. Optical system according to claim 15, wherein the mask comprises a multidimensional diffraction grating (22), a phase grating, a DMD device, or an LCD matrix.
17. Device according to claim 15 or 16, wherein the mask and the sample (40) are positioned so they are movable and/or rotatable relative to one another.
18. Optical system according to claim 14, wherein the pattern generator (20, 20', 20") comprises a mirror assembly (23-27) which is set up for generating of an interference pattern on the object (40).
19. Optical system according to claim 14, wherein the pattern generator (20, 20', 20") comprises a device for achieving predetermined physical or chemical conditions on the object (40) corresponding to the spatial pattern.
20. Optical system according to claim 14, wherein an adjustment device for displacement of the object (40) in

the spatial pattern of the object conditions is provided.

21. Optical system according to one of the claims 14 to 20, wherein the illumination device (10) comprises a flash lamp, a laser, or a high-pressure lamp.
22. Optical system according to one of the claims 14 to 21, wherein an illumination optic (30) and/or an imaging optic (50) are provided.
23. Usage of a process or an optical system according to one of the preceding claims in combination with typical optical microscopy processes, particularly in combination with standard far field microscopy, epifluorescence microscopy, confocal microscopy, 4Pi microscopy, theta microscopy, near field microscopy, microscopic  $I^2M$ ,  $I^3M$ , and  $I^5M$  processes, STED processes, multiphoton microscopy, CARS processes, and SHG or THG microscopy.

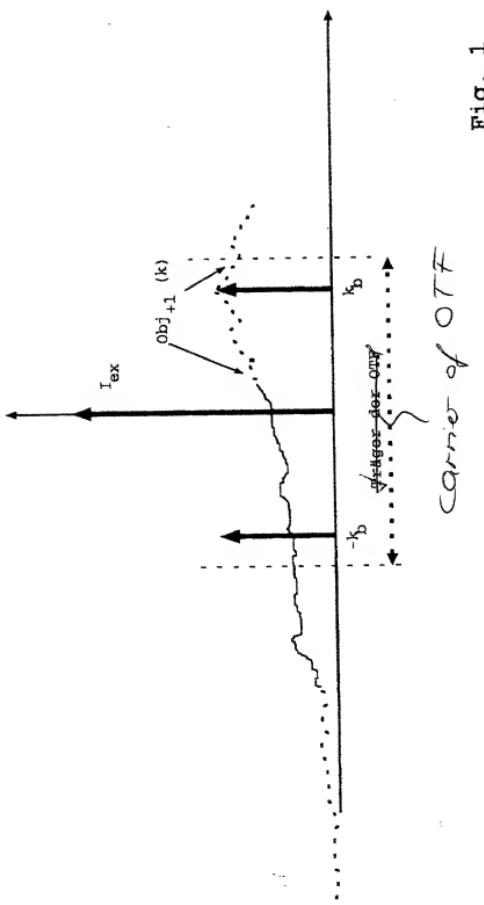


Fig. 1

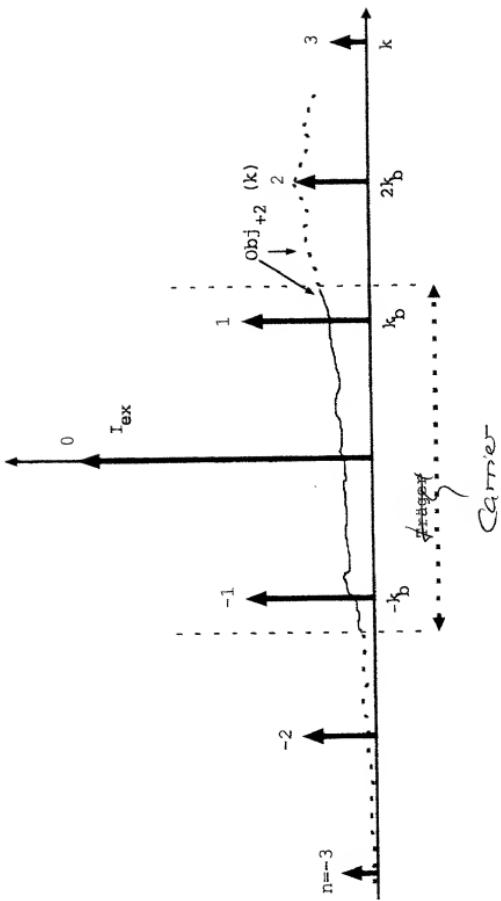


Fig. 2

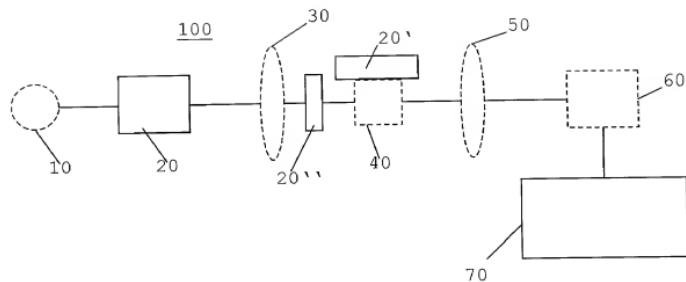


Fig. 3

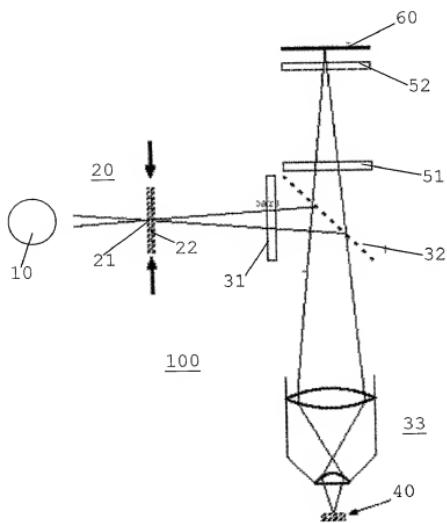


Fig. 4

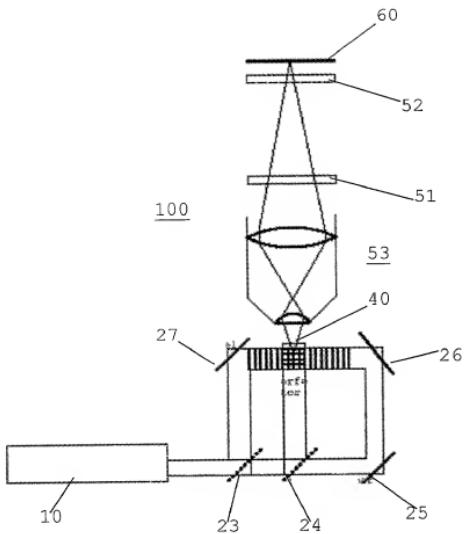


Fig. 5

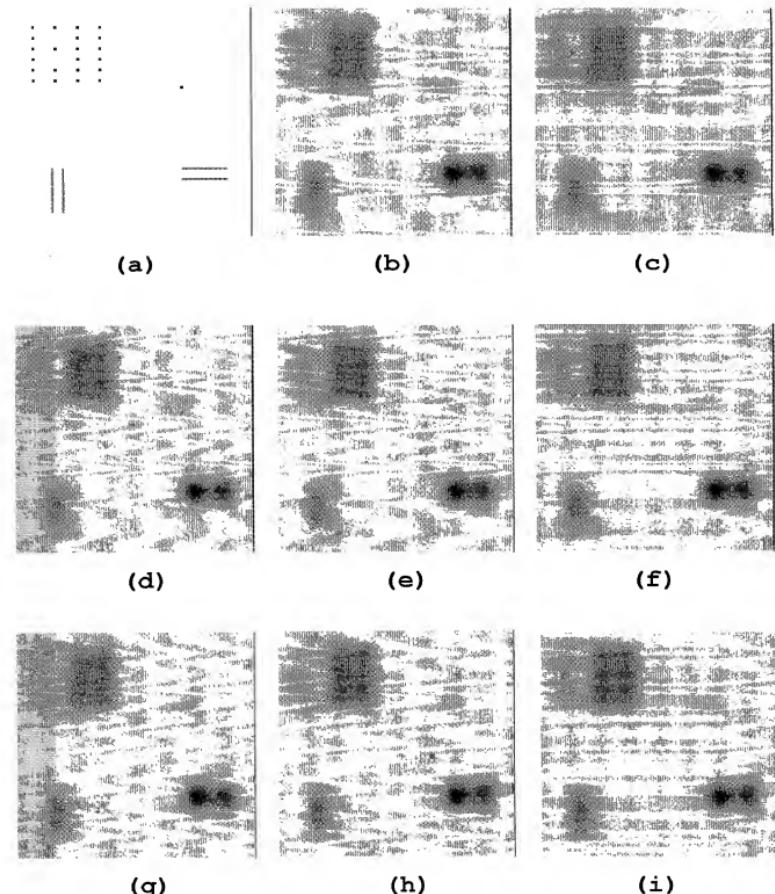


Fig. 6

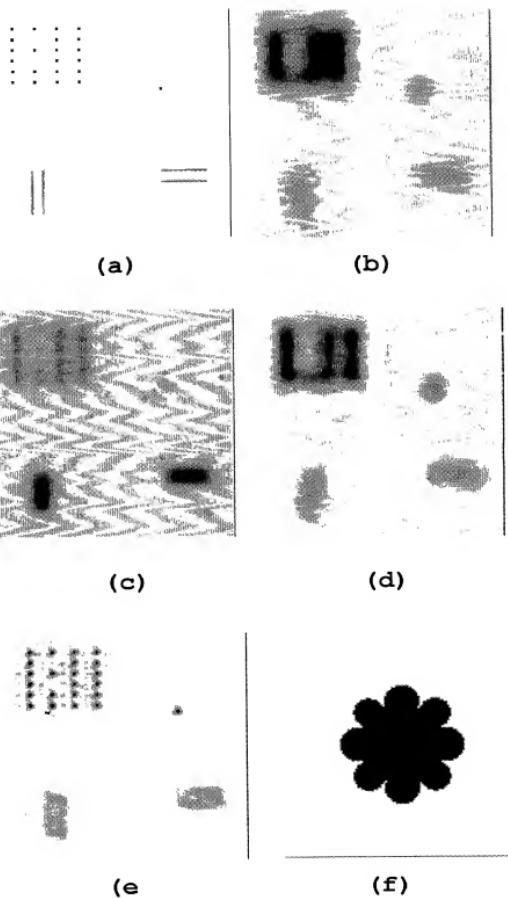


Fig. 7

## Simulation with side illumination

## Simulation unter seitlicher Beleuchtung

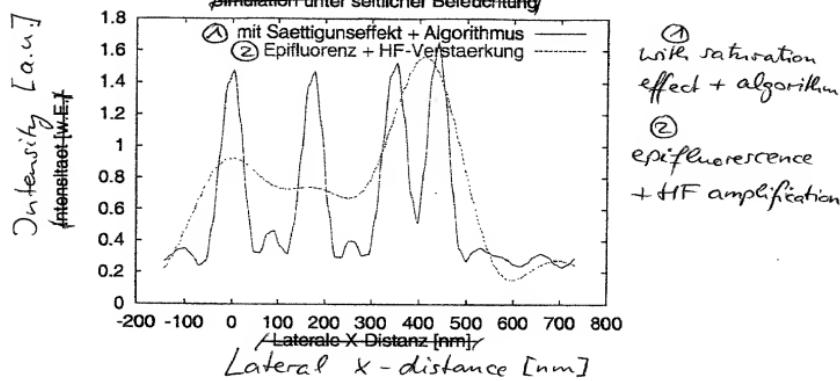


Fig. 8

## Simulated OTF of the whole system

## Simulierte Gesamtransfunktion (conventional)

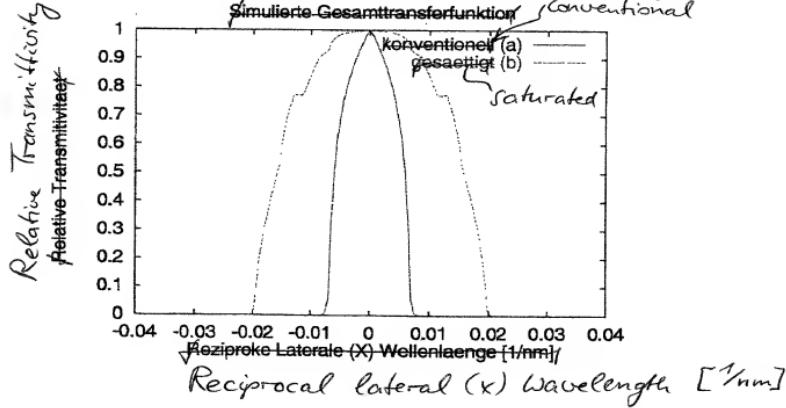
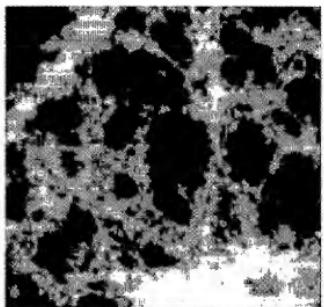
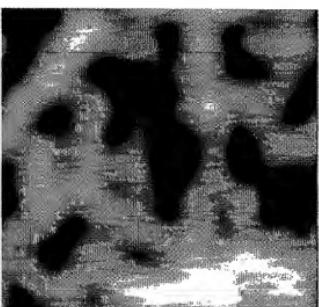


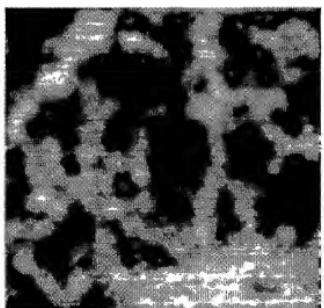
Fig. 9



(a)



(b)



(c)

Fig. 10

- Original Application
- PCT National Application  
U.S. Designated Office
- Continuation or Divisional Application
- Continuation-in-Part Application

**COMBINED DECLARATION,  
POWER OF ATTORNEY AND PETITION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **PROCESS AND DEVICE FOR OBJECT IMAGING**

which is described in the specification and claims

attached hereto.

filed on August 29, 2001

Application Serial No. 09/914,515

and was amended on \_\_\_\_\_

*(if applicable)*

which is described in International Application No. PCT/EP00/01806 filed March 2, 2000 and as amended on

\_\_\_\_\_ (if any),

which I have reviewed and for which I solicit a United States patent.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. §1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

COMBINED DECLARATION, POWER OF ATTORNEY AND PETITION  
(Page 3)

Attorney Docket No. 1284-01

I hereby petition for grant of a United States Letters Patent on this invention.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

1. FULL NAME OF SOLE OR FIRST INVENTOR <i>Rainer Heintzmann</i>		INVENTOR'S SIGNATURE <i>Rainer Heintzmann</i>	DATE <i>September 7th, 2001</i>
RESIDENCE D-37077 Göttingen, Germany	CITIZENSHIP Germany <i>DEK</i>		
POST OFFICE ADDRESS Stumpfe Eiche 11, D-37077 Göttingen, Germany			
2. FULL NAME OF JOINT INVENTOR, IF ANY <i>Christoph Cremer</i>		INVENTOR'S SIGNATURE <i>Christoph Cremer</i>	DATE <i>Sept 22, 2001</i>
RESIDENCE D-69126 Heidelberg, Germany	CITIZENSHIP Germany <i>DEK</i>		
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3. FULL NAME OF ADDITIONAL JOINT INVENTOR, IF ANY		INVENTOR'S SIGNATURE	DATE
RESIDENCE		CITIZENSHIP	
POST OFFICE ADDRESS			
4. FULL NAME OF ADDITIONAL JOINT INVENTOR, IF ANY		INVENTOR'S SIGNATURE	DATE
RESIDENCE		CITIZENSHIP	
POST OFFICE ADDRESS			
5. FULL NAME OF ADDITIONAL JOINT INVENTOR, IF ANY		INVENTOR'S SIGNATURE	DATE
RESIDENCE		CITIZENSHIP	
POST OFFICE ADDRESS			
6. FULL NAME OF ADDITIONAL JOINT INVENTOR, IF ANY		INVENTOR'S SIGNATURE	DATE
RESIDENCE		CITIZENSHIP	
POST OFFICE ADDRESS			
7. FULL NAME OF ADDITIONAL JOINT INVENTOR, IF ANY		INVENTOR'S SIGNATURE	DATE
RESIDENCE		CITIZENSHIP	
POST OFFICE ADDRESS			

COMBINED DECLARATION, POWER OF ATTORNEY AND PETITION  
(Page 2)

Attorney Docket No. 1284-01

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International Application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application(s) for patent or inventor's certificate or of any PCT International Application having a filing date before that of the application on which priority is claimed:

Number	Country	Date of Filing (day,month,year)	Priority Claimed
DE 199 08 883.7	Germany	02 March 1999	<input checked="" type="checkbox"/> yes <input type="checkbox"/> no
			<input type="checkbox"/> yes <input type="checkbox"/> no
			<input type="checkbox"/> yes <input type="checkbox"/> no
			<input type="checkbox"/> yes <input type="checkbox"/> no
			<input type="checkbox"/> yes <input type="checkbox"/> no

I hereby claim the benefit under Title 35, United States Code, §119(e) or §120 (as applicable) of any United States application(s) or §365(c) of any PCT International Application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International Application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112:

<u>(Application Serial No.)</u>	<u>(Filing Date)</u>	<u>(Status) (patented, pending, abandoned)</u>

<u>(Application Serial No.)</u>	<u>(Filing Date)</u>	<u>(Status) (patented, pending, abandoned)</u>

POWER OF ATTORNEY: As a named inventor, I hereby appoint the registered attorneys listed under Customer No. 022469 and the following registered attorneys to prosecute this application and transact all business in the United States Patent and Trademark Office connected therewith:

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Guy T. Donatiello	Reg. No. <u>33,167</u>	Michael A. Patané	Reg. No. <u>42,982</u>
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Albert T. Keyack	Reg. No. <u>32,906</u>	Sharon Fenick	Reg. No. <u>45,269</u>
Jeffrey L. Eichen	Reg. No. <u>41,496</u>	Stewart M. Wiener	Reg. No. <u>46,201</u>
Austin R. Miller	Reg. No. <u>16,602</u>	Felicity E. Groth	Reg. No. <u>47,042</u>
James A. Drobile	Reg. No. <u>19,690</u>	Stephanie W. Yeung	Reg. No. <u>48,052</u>
Joan T. Kluger	Reg. No. <u>38,940</u>		

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